

**TRANSMITTAL  
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Application Number 10/707,402

Filing Date 12/11/2003

First Named Inventor Carroll W. Larke

Art Unit unknown

Examiner Name unknown

Total Number of Pages in This Submission

34

Attorney Docket Number

47399-0029

**ENCLOSURES (Check all that apply)**

- |  |   |  |
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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Group                            |
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**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name

Louis F. Wagner

Signature

Date

February 13, 2004

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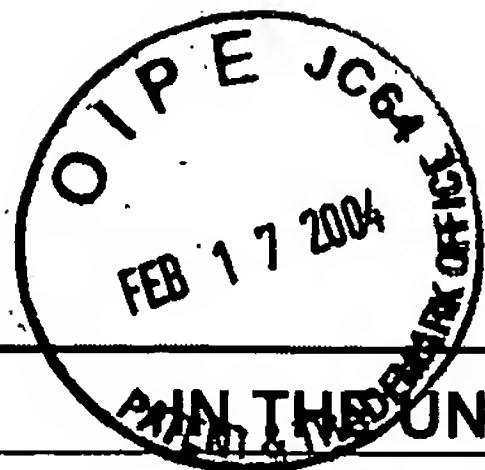
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**UNITED STATES PATENT & TRADEMARK OFFICE**

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<i>Applicant:</i>	Larke, Carroll W.	<i>Examiner:</i>	Unknown
<i>Serial #:</i>	10/707,402	<i>Art Unit:</i>	Unknown
<i>Filing Date:</i>	February 11, 2003	<i>Date:</i>	February 13, 2004
<i>Title:</i>	PROCESS FOR MANUFACTURE OF PENTAERYTHRITOL DIPHOSPHITES		

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Supplemental Information Disclosure Statement**

This Supplemental Information Disclosure Statement is made within the earlier of: (1) three months after the filing date of the application §1.97(b)(1); (2) within three months of the date of entry of the national stage as set forth in §1.491 in an international application §1.97(b)(2); or (3) before the mailing date of a first Office action on the merits §1.97(b)(3). Therefore, this statement is considered timely under 37 C.F.R. §1.97(b).

According to the terms of 37 C.F.R. §1.97(g), this Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(a) exists. While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. §1.56(b), it is not intended to constitute admission that any patent, publication or other information referred to therein is "material to patentability" under 37 C.F.R. §1.97(h) for this invention unless specifically designated.

In accordance with 37 C.F.R. §1.56, applicant wishes to call the attention of the Examiner to the following references, which were uncovered by the applicant. Copies of these references are also submitted herewith along with form PTO-1449, as well as summaries of the references.

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***U.S. Patent Documents***

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All known U.S. Patent Documents were submitted electronically on 12 February 2004.

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**Foreign Patent Documents**

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Ref. #	Patent #	Inventor	Publication Date
BA	WO 03/102004	Thibaut, Daniel	12/11/2003
	Title	Amorphous Solid Modification of Bis(2,4-dicumylphenyl) Pentaerythritol Diphosphite	

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**Other Documents**

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No other documents are in the possession of the applicant at this time.

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**Presentation at National Meetings**

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To the knowledge of the applicant, there has been no presentation of this subject matter at National meetings.

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**Presentations at Invited Seminars**

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To the knowledge of the applicant, there has been no presentation of this subject matter at invited seminars.


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**Best Information Available**

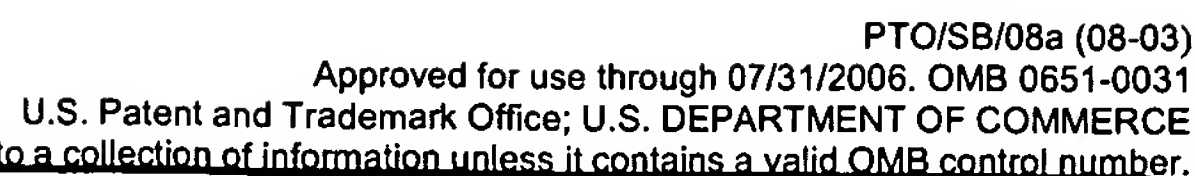
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This Information Disclosure Statement is based upon the information contained in the undersigned attorney's file as of the filing date of this statement and is inclusive of the best information known to the undersigned at that date. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully Submitted,

  
Louis F. Wagner  
Registration No.: 35,730

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«AK3:687312\_1»



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Sheet

1

of

1

Application Number

10/707,402

Filing Date

12/11/2003

**First Named Inventor**

Carroll W. Larke

Art Unit

unknown

**Examiner Name**

unknown

Attorney Docket Number

47399-0029

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
	BA	WO 03/102004 A1	12/11/2003	Ciba Specialty CHemicals		
			12/11/2003			
			12/11/2003			
			12/11/2003			
			12/11/2003			

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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